



2661

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21PATENT  
G-1304-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-873)

In the Application of: )  
                            )  
                            )  
                           David Willming et al.     ) Before the Examiner: Not Assigned  
                            )  
                            )  
Serial No.           10/075,926                 )  
                            )  
Filed:               February 14, 2002             )  
                            )  
For:     System and Method for Automatic Digital     )  
                            Certificate Installation on a Network Device     )  
                            In a Data-Over-Cable System             )

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Technology Center 2600

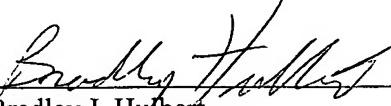
TRANSMITTAL LETTER

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:
  - a) Third Supplemental Information Disclosure Statement Letter;
  - b) Third Supplemental Information Disclosure Statement Form PTO-1449;
  - c) 11 Cited References; and
  - c) Postcard.
2. With respect to fees:
  - a) No fee is required.
  - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 2<sup>nd</sup> day of April, 2003.

By:   
Bradley J. Hulbert  
Reg. No. 30,130



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(Case No. 01-873)

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Group Art Unit: 2661

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THIRD SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient

detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103.

**CITED REFERENCES**

<b>Document Number</b>	<b>Date</b>	<b>Name</b>
5,784,597	07-21-98	Chiu et al.
6,243,369	06-05-01	Grimwood et al.
6,453,472	09-17-02	Leano et al.
6,490,727	12-03-02	Nazarathy et al.
6,449,291	09-10-02	Burns et al.
6,393,478	05-21-02	Bahlmann
6,064,372	05-16-00	Kahkoska

**U.S. Published Applications**

<b>Number</b>	<b>Date</b>	<b>Name</b>
US 2003/0028891 A1	02-06-03	Hardt et al.
US 2002/0136165 A1	09-26-02	Ady et al.
US 2002/0122050 A1	09-05-02	Sandberg

**Foreign Patent Documents**

<b>Number</b>	<b>Date</b>	<b>Country</b>
WO 00/67385	11-09-00	PCT

Respectfully submitted,

Date: April 2, 2003  
By:   
Bradley J. Hulbert  
Registration No. 30,130

FORM PTO-1449  
(Rev. 2-32)

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.

Serial No.

01-873

10/075,926



THIRD SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

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APR 09 2003

Technology Center 2600

Applicant:  
David Willming et al.

Filing Date:  
February 14, 2002

Group:  
2661

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	5,784,597	07-21-98	Chiu et al.	395	552	09-22-95
	2.	6,243,369	06-05-01	Grimwood et al.	370	335	05-06-98
	3.	6,453,472	09-17-02	Leano et al.	725	111	06-02-99
	4.	6,490,727	12-03-02	Nazarathy et al.	725	129	10-07-99
	5.	6,449,291	09-10-02	Burns et al.	370	516	11-24-98
	6.	6,393,478	05-21-02	Bahlmann	709	224	02-22-99
	7.	6,064,372	05-16-00	Kahkoska	345	173	11-27-96

**U.S. PUBLISHED PATENT APPLICATIONS**

Examiner Initial		Publication Number	Pub. Date	Name	Class	Subclass	Filing Date if Appropriate
	8.	US 2003/0028891 A1	02-06-03	Hardt et al.	725	107	08-03-01
	9.	US 2002/0136165 A1	09-26-02	Ady et al.	370	241	03-23-01
	10.	US 2002/0122050 A1	09-05-02	Sandberg	345	705	12-30-00

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation
	11.	WO 00/67385	11-09-00	PCT			
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.